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| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT<br>(Under 37 CFR 1.97(b) or 1.97(c))  |                               | Docket No.<br>Austin 4.1-1 |                        |
|---|-------------------------------|----------------------------|------------------------|
| In Re Application Of:<br>Delbert L. Austin and Rodney Austin  |                               |                            |                        |
| Serial No.<br>10/804,794  | Filing Date<br>March 19, 2004 | Examiner                   | Group Art Unit<br>3632 |
| Title:<br><br>STABILIZING DEVICE  |                               |                            |                        |
| Address to:<br>Commissioner for Patents<br>P.O. Box 1450<br>Alexandria, VA 22313-1450   |                               |                            |                        |
| 37 CFR 1.97(b)  |                               |                            |                        |
| 1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. |                               |                            |                        |
| 37 CFR 1.97(c)  |                               |                            |                        |
| 2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:   |                               |                            |                        |
| <input type="checkbox"/> the statement specified in 37 CFR 1.97(e);   |                               |                            |                        |
| OR  |                               |                            |                        |
| <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).   |                               |                            |                        |

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
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In Re Application:

Delbert L. Austin and Rodney Austin

Serial No.  
10/804,794

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Examiner

Group Art Unit  
3632

STABILIZING DEVICE

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account **13-0610** as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F: \_\_\_\_\_)

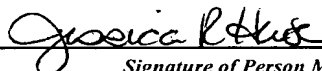
\_\_\_\_\_  
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Signature

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**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on **July 1, 2004** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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Jessica R. House

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Typed or Printed Name of Person Mailing Certificate

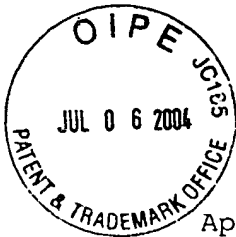
\*This certificate may only be used if paying by deposit account.

Dated: **July 1, 2004**

  
\_\_\_\_\_  
Signature  
Mary M. Moyne

Registration No. 35,962

CC:



Austin 4.1-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Delbert L. Austin and Rodney Austin

For : STABILIZING DEVICE

HON. COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D. C. 20231

Sir:

INFORMATION STATEMENT UNDER  
RULE 1.97 and 1.98

Provided herewith are copies of patents and publications as listed in the attached form PTO-1449. A concise explanation of the relevance of each reference listed in form PTO-1449 is contained in the above-captioned specification as filed.

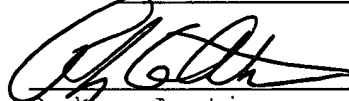
Applicant respectfully asserts that the substantive provisions of 37 CFR 1.97 and 37 CFR 1.98 are met by the provision of the foregoing statement.

Respectfully,



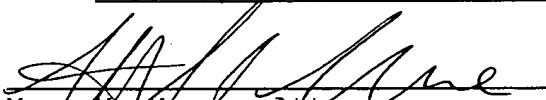
Delbert L. Austin

Date: 3-19-04



Rodney Austin

Date: 22 APR, 2004



Mary M. Moyne, Attorney  
Registration No. 35,962

Date: 3-19-04

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**Complete if Known**

*(use as many sheets as necessary)*

Sheet

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of

1

Application Number

Filing Date

**First Named Inventor**

Delbert L. Austin

**Art Unit**

Examiner Name

Attorney Docket Number

Austin 4.1-1

**U.S. PATENT DOCUMENTS**

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner  
Signature

Date  
Considered

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

***If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.***